Electronic Acknowledgement Receipt					
EFS ID:	3944176				
Application Number:	10627873				
International Application Number:					
Confirmation Number:	3618				
Title of Invention:	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis				
First Named Inventor/Applicant Name:	Steven M.H. Wallman				
Correspondence Address:	Bradley J. Meier KENYON & KENYON Suite #700 1500 K Street, N.W. Washington DC 20005 US -				
Filer:	Shawn W. Odowd/Tammy Jordan-Whitmore				
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Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$130

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1 Terminal Disclaimer Filed	10392_460041TermDiscl.pdf	90598	no	1	
		39035269f22ff6fe8ec476422f39ce4648118 626			
Warnings:					
Information:					
2 Fee Worksheet (PTO-06)	fee-info.pdf	29879	no	2	
		d72eb5a4c7e553389c00985b58a97ec4660 f2d89			
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.